

# FWF Application Guidelines: Grants for Peer-Reviewed Publications

#### Rules

Publication costs for open access to peer-reviewed journal articles, contributions in proceedings, etc., which result in whole or in part from projects supported by the FWF, can be claimed through an additional application via the "Peer-Reviewed Publications" programme during the project term up to and including three years after the end of the project. In programmes with several funding periods, the end of a funding period is regarded as the end of the project when applying for funding of publication costs.

#### Open Access

In accordance with the <u>Berlin Declaration</u> and Plan S of <u>cOAlition S</u>, the FWF requires all project leaders and project staff members to publish their peer-reviewed publications open access on the Internet if they result in whole or in part from projects supported by the FWF.

# **Guidelines for projects approved from 1 January 2021:**

The conditions of the FWF Open Access Policy apply.

**Publication in an open access publication venue (Gold Open Access):** In addition to the project budget, the FWF refunds the costs incurred for the publication in a fully open access publication venue that fulfils the Gold Open Access conditions as outlined in the FWF's Open Access Policy.

**Publication in a transformative open access venue (Hybrid Open Access):** In addition to the project budget, the FWF covers the costs incurred for the publication if a transformative open access agreement exists between the publisher and the FWF and/or an Austrian research institution (see <u>list of agreements</u>)<sup>1</sup>.

# <u>Guidelines for projects approved between 1 November 2014 and 31 December 2020:</u>

The following version of the FWF's Open Access Policy applies.

**Gold Open Access:** In addition to the project budget, the FWF refunds the costs incurred for the publication in a fully open access publication venue that fulfils the Gold Open Access conditions as outlined in the FWF's Open Access Policy.

Hybrid Open Access: ): In addition to the project budget, the FWF covers the costs incurred for the publication if a transformative open access agreement exists between the publisher and the FWF and/or an Austrian research institution (see <u>list of agreements</u>). In addition to the project budget, the FWF refunds costs up to €1,500 for an open access publication in a subscription journal. Should the costs exceed this amount, authors are required to choose another publication venue or Green Open Access. In addition, the difference can be covered by funds from an ongoing FWF project or by other options (e.g., cost sharing with co-authors).

Other costs: Costs for contributions in subscription formats that are not linked to open access (e.g., page charges, colour figures, and membership fees) are not refunded by the FWF. Should such costs be claimed, authors are requested to choose another publication medium, to use the global budget of an ongoing FWF project, or to apply for other funds.

<sup>&</sup>lt;sup>1</sup> Please note that reimbursement for articles in transformative journals is only possible if the journals are included in a transformative open access agreement.

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# **Guidelines for projects approved before 1 November 2014:**

Gold and Hybrid Open Access: The costs per publication should not exceed €3,000 but are possible (please consult FWF).

Other publication costs: In the case of journal articles, the FWF will finance page charges, colour charges, submission fees, and membership fees (if this significantly reduces the costs of the articles) in addition to the costs of open access, but not versions such as "covers", offprints, or similar.



## Checklist

#### Conditions

The publication must undergo an internal peer review and fulfil the publication requirements as outlined in the FWF's Open Access Policy.

One of the authors of the publication must be a project leader or project participant (especially project members or national research partners).

If the end of the project or the funding period of the project is more than 36 months in the past, the FWF will no longer cover publication costs (receipt of application).

The following text² must be included in the acknowledgement section of each publication: 'This research was funded in whole, or in part, by the Austrian Science Fund (FWF) [Grant number]. For the purpose of open access, the author has applied a CC BY public copyright licence to any Author Accepted Manuscript version arising from this submission.'

## **Procedure**

The project leader first pays the publication fee to the publisher using funds from the general budget of the ongoing project, after which the FWF reimburses the amount to the project account. For projects that have already ended, the advance payment should be made by the research institution. The use of personal funds should be avoided. The FWF does not transfer funds abroad to cover publication costs

## **Exception**

The FWF has publishing agreements with some publishers under which publication costs are covered directly by the FWF. See list of publishers.

## **Application**

E-mail sent by the project manager with the following attachments:

- 1) A fully completed "application form" https://www.fwf.ac.at/fileadmin/files/Dokumente/Antragstellung/Referierte Publikatione n/rp\_form.pdf
- 2) An electronic version of the publication (\*.pdf, no scanned files)
- 3) Original invoice (\*.pdf) from the publisher with the remark "funded by the FWF", or it must be possible to establish a clear link between the invoice and the article, e.g., via the persistent identifier or the title on the invoice.
- 4) Proof of payment in euros (\*.pdf)
- (a) If payment is made via a research institution subject to UG 2002, SAP expenditure list with stamp and signature of the university's treasurer or finance department. Bank charges and taxes can be taken into account but must be explicitly displayed and attributable to the amounts.
- (b) Credit card statement or bank transfer copy

In both cases, a clear link between the invoice and the proof of payment must be evident.

#### Contact

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<sup>&</sup>lt;sup>2</sup> If the project was approved before 1 January 2021, the following text must be included in the Acknowledgement section: Austrian Science Fund (FWF): Project number